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## Local Tomography in 3-D SPECT

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ABSTRACT. We present slant-hole SPECT and describe the microlocal analysis of the SPECT operator. We present three Lambda type local reconstruction methods, and we analyze how singularities are added to reconstructions using each method. We demonstrate how one method adds weaker singularities than the others in reconstructions and we give the microlocal analysis of the forward operator.

#### 1. Introduction and Notation

Single Photon Emission Computed Tomography (SPECT) is a diagnostic medical modality to detect metabolic processes or body structure. The physical resolution is not usually as good as with X-ray tomography, but it can be useful to detect metabolic processes. These maps of metabolic processes are used to pinpoint tumors, which absorb nutrients faster than the surrounding tissue and in epilepsy research to map activities in the brain when someone has a seizure.

A patient ingests a nutrient with a radioactive tracer attached. The SPECT scanner measures the emissions from the body in a range of directions, and a tomography algorithm determines where the nutrients are located. Detectors are collimated to detect only the emissions that are on fixed lines. For each line, the data collected represents the number

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of emissions from points on that line in the direction of the detector. In standard SPECT, the detector array moves around the body and takes data over lines with directions perpendicular the axis of rotation. This is a time consuming process because the heavy detector array has to physically move around the body. Slant-Hole SPECT is a novel data acquisition method in which the circular detector array rotates about its center, and the array itself does not need to be moved around the patient. This means that a full data set for this specific geometry can be acquired more quickly than for standard data [**50**]. Several algorithms for slanthole SPECT have been developed [**31**, **50**] if one has full data, but, to the author's knowledge, there is no algorithm for local data except the one presented here. In Section 3, we explain practical reasons why local algorithms are needed.

The article is organized as followed. In Section 2, we describe X-ray computed tomography then the basic model for SPECT. We also develop the notation for the article. In Section 3, we introduce the geometry and mathematics for SPECT and present three basic algorithms for slant-hole SPECT. We discuss singularities and wavefront sets in Section 4, and in the appendix, we give the proofs of the microlocal results.

#### 2. The models for X-ray Computerized Tomography and SPECT

We consider three-dimensional tomographic data over parallel lines. So, we consider the *parallel-beam parameterization* of lines in space. To specify lines, we provide the direction of the line and a point on the line. Let  $\theta \in S^2$  and let

$$\theta^{\perp} = \{ y \in \mathbb{R}^3 \, \big| \, y \cdot \theta = 0 \}$$

be the plane through the origin perpendicular to  $\theta$ . For  $\theta \in S^2$  and  $y \in \theta^{\perp}$ , we denote the line through y in direction  $\theta$  by

$$L(y,\theta) = \{y + t\theta \, | \, t \in \mathbb{R}\}.$$

This allows us to parameterize all the lines in space by the set

$$Y = \{(y,\theta) \mid \theta \in S^2, \ y \in \theta^{\perp}\}.$$
(2.1)

Beer's law dictates how radiation is attenuated as it passes through the body. We denote the *attenuation coefficient (or absorption)* of the body at point x by  $\mu(x)$ . Although  $\mu$  depends on frequency of the radiation, for monochromatic radiation,  $\mu(x)$  is proportional to the density of the body at x. Denote the intensity of radiation at x by f(x) and assume the emitter of X-rays is at the point  $x_0$  on a line L and the detector is at  $x_1 \in L$ . According to Beer's Law,

$$\frac{df}{dx} = -\mu(x)f(x)$$

where d/dx is the derivative along the line in the direction of the detector. It is very easy to integrate this separable differential equation, and the result is

$$\frac{f(x_1)}{f(x_0)} = \exp\left(-\int_{x_0}^{x_1} \mu(x)dx\right).$$
 (2.2)

That is, knowing  $f(x_0)$  and  $f(x_1)$  one can measure the line integral of  $\mu$  from  $x_0$  to  $x_1$  along L. We assume  $x_1$  is outside the convex hull of the body and the detector is at  $x_1$  and is collimated along the ray from  $x_0$  to  $x_1$ . Then this integral is the *divergent beam transform* of  $\mu$  from source  $x_0$  in direction  $\theta$ :

$$D_{x_0}\mu(\theta) := \int_{s=0}^{\infty} \mu(x_0 + s\theta) \, ds \, .$$

We can now rewrite (2.2) to become

$$f(x_1) = \exp\left(-D_{x_0}\mu(\theta)\right)f(x_0).$$
(2.3)

In X-ray tomography,  $x_0$  is the source of X-rays and it is on one side of the body and  $x_1$  is the detector on the other side.

In Single Photon Emission Computed Tomography (SPECT), the patient ingests a radioactive substance with a nutrient (sugar, etc.) attached. The goal is to find the locations where the nutrient collects by counting emissions. We assume that  $\mu(x)$ , the attenuation coefficient of the body at x, is known, and we let f(x) be the concentration of radioactive emitters at x. Collimated detectors are placed around the body and emissions are counted along the collimated lines to the detectors. Assume a detector is collimated in direction  $\theta$  to detect data on  $L(y, \theta)$  (i.e., the detector is in the  $\theta$  direction from the body along  $L(y, \theta)$ .

According to Beer's law, emissions from  $x_0 \in L(y, \theta)$  at the detector is given by (2.3), so the total emissions along  $L(y, \theta)$  are just the integral of (2.3) over  $x_0 \in L(y, \theta)$ . This transform is called the *Attenuated Radon Transform* and, if  $d\ell(x)$  is the measure on the line  $L(y, \theta)$ , it is

$$R_{\mu}f(y,\theta) := \int_{x \in L(y,\theta)} f(x) \exp\left(-\int_{s=0}^{\infty} \mu(x+s\theta)ds\right) d\ell(x)$$
$$= \int_{t=-\infty}^{\infty} f(y+t\theta) \exp\left(-\int_{s=t}^{\infty} \mu(y+s\theta)ds\right) dt.$$
(2.4)

This is a complicated transform, and a simplifying assumption is often made. One assumes the attenuation is some constant,  $\nu$ , in a convex region of the body including the emitters, supp f. After multiplying the data by a known factor depending on the shape of the convex set on which  $\mu$  is constant, we get the *Exponential Radon transform*:

$$E_{\nu}f(y,\theta) := \int_{t=-\infty}^{\infty} f(y+t\theta)e^{\nu t}dt, \qquad P := E_0.$$
 (2.5)

This reduction is derived, for example, in [29, 3]. We call  $P = E_0$  the *parallel beam transform*. We assume that we know  $\nu$  and are trying only to find f.

In *standard SPECT*, the detectors are collimated to a set of parallel lines that are perpendicular to the axis of rotation, and the detector array rotates around that axis. In this case, the lines are all in parallel planes, and the problem can be viewed as a planar problem.

Much beautiful mathematics has been created in attempts to invert the planar attenuated transform. In 1980, Tretiak and Metz [48] proved a filtered back projection type inversion formula for the much simpler exponential transform. Markoe [33] developed a Fourier reconstruction method for this transform. Using integral equation techniques Quinto was able to prove injectivity for the class of rotation invariant Radon transforms (which includes the exponential transform) [43]. Motivated by his result. Quinto hoped that one could prove injectivity for not only the attenuated transform (with smooth  $\mu$ ) but also for any Radon line transform with smooth positive weight (see (A.4)). However, in the mid-1980s, Jan Boman gave a beautiful counterexample to injectivity for a smooth positive weight [4]. At around the same time Finch showed in an elegant article [11] that the general attenuated transform was injective as long as the attenuation,  $\mu$  was bounded in relation to the support of f (the exact condition is  $\|\mu\|_{\infty}$  diam $(\Omega) < 5.37$ ). He used clever energy estimates inspired by work Mukhometov from the 1970s [34]. Derevtsov, Dietz, Schuster, and Louis test best approximation and approximate inverse on two dimensional SPECT in [7]. This transform was not inverted until 2002 with beautiful ground-breaking work of Bukhgeim [2] and Novikov [39], and these formulas were implemented in [18, 30, 36].

The question of how to find both  $\mu$  and f, is even more difficult. For the exponential transform, one can show that, as long as f is not radial, then one can reconstruct both  $\nu$  and f from  $E_{\nu}f$  [47]. Natterer discovered necessary range conditions for the general attenuated transform (e.g., [37]), and he used these in very clever ways to find  $\mu$  under the assumption that supp f is a finite set [35]. One can read about these developments in [29, 10]. Now, we leave planar SPECT. Our goal is to explore a new 3-D data acquisition geometry.

#### 3. Slant-Hole SPECT

Slant-hole SPECT involves a new data acquisition geometry in which the collimated detectors are slanted at an angle of  $\phi \in (0, \pi/2)$  from a vertical axis at the center of the detector array. The array rotates around this center to collect data. The resulting set of lines all are at angle  $\phi$ from this vertical axis, so they are parallel any cone with opening angle  $\phi$  from the vertical axis. As can be guessed from Figure 1, data acquisition is faster [50] with slant-hole SPECT than standard SPECT, since the detector array does not have to be moved all around the patient. It just needs to be rotated about its axis. In Section 4 we will explain that some singularities of f are not detected from this data. So, sometimes the detector array is rotated to a second position so as to get more complete data. Bakhos tested this for the data set of Figure 2 with excellent results [3].



FIGURE 1. (a): Detector array for standard SPECT. To collect data, the entire array rotates about an axis through the body that is perpendicular to the direction of the collimators. (b): Detector array for slant-hole SPECT. To collect data, the array stays at the same position in space and just rotates about its center.

Since slant-hole SPECT is so much newer than standard SPECT, much less is known. For the exponential transform, Kunyansky[**31**] and Wagner, Noo, and Clackodyle [**50**] have developed clever inversion methods if all data are given. For the parallel beam transform ( $\nu = 0$ ) Orlov [**40**] developed an inversion method using the projection slice theorem. We will discuss this in Remark 4.10.

The author knows of no inversion method for the general attenuated transform and slant-hole data. In beautiful work, Greenleaf and Uhlmann

[16, 17] developed the microlocal analysis of more general X-ray transforms on so-called admissible line complexes on manifolds, and the sets of lines we consider are special cases. Boman and Quinto [5] studied the microlocal analysis for X-ray transforms on admissible line complexes in  $\mathbb{R}^3$  including the sets of lines we study here.

Another important limitation of slant-hole SPECT is the fact that, to image all of a cross-section of the torso, the detector array has to be very large. To see this, assume  $\phi = \pi/4$  and the torso is 40 cm wide and the detector array is 30 cm above the center of the body. Then the array has to have diameter 100 cm. in order that lines from the edge of the body at angle  $\pi/4$  reach the array if the detector is like the one in Figure 1.

This issue also explains why region of interest algorithms are practically important. In region of interest tomography, the goal is to reconstruct a smaller region in the body. In slant-hole SPECT, a smaller array could be used than for the entire torso. With the geometry given above, the detector would have diameter the diameter of the region of interest plus 60 cm. Also, the current algorithms for slant-hole SPECT [**31**, **50**] require all data through the body, and that would require an even larger detector.

Now we introduce the mathematical notation needed to solve our problem.

DEFINITION 3.1. Let  $\phi \in (0, \pi/2)$ . We let  $\mathfrak{C}_{\phi}$  be the vertical cone through the origin with angle  $\phi$  from the z-axis. We let  $C_{\phi} = \mathfrak{C}_{\phi} \cap S^2$ . Then,  $C_{\phi}$  is a latitude circle on  $S^2$ . We define

$$Y_{\phi} = \{(y,\theta) \mid \theta \in C_{\phi}, \ y \in \theta^{\perp}\}$$
(3.1)

and call  $Y_{\phi}$  the *slant-hole SPECT line complex*.

 $Y_{\phi}$  is the set of lines in the slant-hole SPECT data set and the set of lines in  $Y_{\phi}$  are parallel the cone  $\mathfrak{C}_{\phi}$ . Equivalently, they and have directions on the latitude circle  $C_{\phi}$ .

This setup can be made more general by considering different curves of directions on  $S^2$ . Let C be a smooth regular curve on  $S^2$  and then we can consider

$$Y_C = \{(y,\theta) \mid \theta \in C, \ y \in \theta^{\perp}\}$$
(3.2)

the set of lines in directions parallel directions on C. The analysis and reconstruction operators presented here are valid in this more general setting as shown in Theorem A.1 and [46].

Let  $x \in \mathbb{R}^3$ , then  $x - (x \cdot \theta)\theta$  is the projection of x onto  $\theta^{\perp}$ . The dual operator to  $E_{\nu}$  on the complex  $Y_{\phi}$  is called the *backprojection operator* 

and it is defined for  $g \in C(Y_{\phi})$  as

$$E_{\nu}^{*}g(x) := \int_{\theta \in C_{\phi}} e^{\nu x \cdot \theta} g(x - (x \cdot \theta)\theta, \theta) d\theta .$$
(3.3)

It is the weighted average of g over all lines through x. Similar formulas are valid if C is an arbitrary differentiable, regular curve on  $S^2$  as is discussed in [46].

**3.1. Basic Backprojection.** A natural and very simple reconstruction method is just to compose  $E_{\nu}$  and its dual. The analogous algorithm was one of the earliest reconstruction methods in X-ray tomography. However, for  $E_{\nu}$ , it is better to compose with  $E_{-\nu}^*$ .

THEOREM 3.2. Let  $f \in C_c(\mathbb{R}^3)$ . Then,

$$E_{-\nu}^{*}E_{\nu}f(x) = \int_{y\in\mathfrak{C}_{\phi}} \frac{f(x+y)}{\|y\|} e^{\nu s} |_{y=s\theta} dy.$$
 (3.4)

Coauthors Sohhyun (Holly) Chung [6] and Tania Bakhos [3] showed that the composition of  $E_{\nu}^* E_{\nu}$  is more complicated and has a somewhat worse kernel than  $E_{-\nu}^* E_{\nu}$ . Bakhos and Chung discovered and then proved the properties of the operators we will soon define (e.g., (3.7)). They both made rigorous tests of the algorithms, and some of their reconstructions will be presented here.

PROOF. The proof of Theorem 3.2 is a simple calculation. We start with the definitions and then rearrange terms.

$$E_{-\nu}^* E_{\nu} f(x) = \int_{\theta \in C} e^{-\nu x \cdot \theta} \int_{-\infty}^{\infty} f(x - (x \cdot \theta)\theta + t\theta) e^{\nu t} dt d\theta$$
$$= \int_{\theta \in C} e^{-\nu x \cdot \theta} \int_{-\infty}^{\infty} f(x + (t - (x \cdot \theta))\theta) e^{\nu t} dt d\theta$$

Now, let  $s = t - (x \cdot \theta)$  so  $t = s + (x \cdot \theta)$ , and we get

$$E_{-\nu}^* E_{\nu} f(x) = \int_{\theta \in C} \int_{-\infty}^{\infty} f(x+s\theta) e^{\nu s} ds d\theta$$

Next, we note that the measure on the cone  $\mathfrak{C}_{\phi}$  is  $dy = |s| ds d\theta$  so

$$E_{-\nu}^{*}E_{\nu}f(x) = \int_{\theta \in C_{\phi}} \int_{s \in \mathbb{R}} f(x+s\theta)e^{\nu s}dsd\theta \qquad (3.5)$$
$$= \int_{y \in \mathfrak{C}_{\phi}} \frac{f(x+y)}{\|y\|} e^{\nu s}|_{y=s\theta}dy$$



FIGURE 2. Cross-section with the x - y plane of phantom (left) and backprojection reconstruction (right) of disks with center (0,0,0) radius 1/2, center (0,0,1) radius 1/2 center (1,1,1) radius 1/4 center (-1,-1,-1/2) radius 1/4. The disks above the x - y-plane have density two and the others have density one. The angle with the z-axis  $\phi = \pi/4$ . The center of the figure is the origin and the range in x and y is from -2 to 2.

Note that in (3.4), the integral of  $f(x + \cdot)$  over the cone  $\mathfrak{C}_{\phi}$ ; values of f near x are emphasized as well as values of f on the cone above x (when s >> 0)!

Obviously, the reconstructions in Figure 2 is interesting but not acceptable. A better idea is to use some sort of derivative sharpening, as in planar Lambda CT, before backprojecting.

**3.2. Planar Lambda CT.** We will now briefly review Lambda tomography, an elegant local reconstruction method for the X-ray transform in the plane. For  $f \in C_c(\mathbb{R}^2)$ , we let

$$Pf(y,\theta) := \int_{t=-\infty}^{\infty} f(y+t\theta)dt$$

where  $\theta \in S^1$  and  $y \in \theta^{\perp} = \{z \in \mathbb{R}^2 | z \cdot \theta = 0\}$  is the line through the origin perpendicular to  $\theta$ . The transform P is, of course, the planar analogue of the parallel beam transform  $E_0$ .

For planar X-ray CT, filtered back projection gives  $f = \frac{1}{4\pi}P^*\Lambda_y Pf$ where  $\Lambda_y = \sqrt{-d^2/dy^2}$  [38]. Here d/dy is the derivative in the direction  $\pi/2$  units in the counterclockwise direction from  $\theta$  in the line  $\theta^{\perp}$ . Lambda X-ray Tomography [8, 49] replaces the non-local operator  $\Lambda_y = \sqrt{-d^2/dy^2}$  by the second derivative

$$\Lambda_x f(x) = P^* \frac{-d^2}{dy^2} Pf(x) \,. \tag{3.6}$$

This operator is local in the sense that one needs only values of Pf on lines near x to calculate  $P^* \frac{-d^2}{dy^2} Pf(x)$ , since  $P^*$  integrates over lines through x, and to calculate the derivative  $\frac{-d^2}{dy^2} Pf$ , one needs only data over lines near x. The result is a local operator, and it reconstructs singularities (e.g., boundaries) not density values.  $\Lambda_x$  is an elliptic pseudodifferential operator since it is a multiple of  $\sqrt{-\Delta}$ . An important addition that Kennan Smith originally suggested [9] is to add a factor of  $P^*Pf$  to provide contour. The resulting transform is  $P^*(-(d^2/dy^2)+k)Pf$ . This was thoroughly researched and justified in [9, 8]. This has been generalized to limited data problems in the plane and to more general weights in [28].

Louis and Maaß have generalized Lambda CT to cone-beam CT [32] by replacing  $d^2/dp^2$  by the Laplacian in the detector plane. Subsequently, Katsevich [27, 26], Anastasio *et al.* [1] and Ye *et al.* [51] have generalized this in ways analogous to what we do in SPECT. Quinto and Öktem [46] developed our methods for a related problem in electron microscopy.

**3.3. Lambda CT for slant-hole SPECT.** We now develop generalizations of Lambda CT to the slant-hole geometry. Recall for angle  $\theta$ , the detector plane is the plane through the origin perpendicular to theta:  $\theta^{\perp}$ . Let  $\Delta_{\theta^{\perp}}$  be the Laplacian in the detector plane  $\theta^{\perp}$ . Our first method is to replace  $-d^2/dy^2$  by  $-\Delta_{\theta^{\perp}}$ . Then we form

$$\mathcal{L}_{\Delta}f(x) = E^*_{-\nu} \left( -\Delta_{\theta^{\perp}} E_{\nu} f \right)(x) \tag{3.7}$$

Note that the calculation of  $\mathcal{L}_{\Delta}f$  from data  $E_{\nu}f$  is a local operation (for the same reasons as for Lambda CT), so the algorithm works for region of interest SPECT and for arbitrary curves on  $S^2$  (see [46]).

To understand  $\mathcal{L}_{\Delta}$ , we note that

$$\mathcal{L}_{\Delta}f(x) = -\Delta \left( E_{-\nu}^* E_{\nu} f \right) + \nu^2 E_{-\nu}^* E_{\nu} f$$
(3.8)

Now, (3.8) shows that  $\mathcal{L}_{\Delta}$  has gives a sharpening term,  $-\Delta(E_{-\nu}^*E_{\nu}f)$ , plus a smoothing term,  $\nu^2 E_{-\nu}^* E_{\nu} f$ . The proof of (3.8) is given at the end of this section after appropriate coordinates are introduced.

The reconstructions in Figure 3 are better than in Figure 2, but why are there halos that seem to be below or above the out-of-plane disks? If



FIGURE 3. Cross-section with the x - y plane of  $\mathcal{L}_{\Delta}$  reconstruction of phantom given in Figure 2. The angle with the z-axis  $\phi = \pi/4$ .

we isolate the individual derivatives, we will see where they come from. We let  $\theta : [0, 2\pi] \to C_{\phi}$  be the standard parameterization of  $C_{\phi}$ :

$$\theta(a) = (\cos\phi\cos a, \cos\phi\sin a, \sin\phi).$$

Then the unit vector in the direction of the curve is  $\alpha(a)$  and the vector perpendicular to  $\theta(a)$  and  $\alpha(a)$  is  $\beta(a)$ :

$$\alpha(a) = \frac{\theta'(a)}{\|\theta'(a)\|} = (-\sin a, \cos a, 0), \qquad \beta(a) = \theta(a) \times \alpha(a) \quad (3.9)$$

This gives coordinates on  $\theta^{\perp}(a)$ 

$$(r,s) \mapsto r\alpha(a) + s\beta(a)$$
 (3.10)

and allows us to write the Laplacian on the detector plane

$$\Delta_{\theta^\perp} = \frac{d^2}{dr^2} + \frac{d^2}{ds^2} \, .$$

This gives two more operators

$$\mathcal{L}_r = E^*_{-\nu} \left( \frac{-d^2}{dr^2} E_\nu \right) f(x) , \qquad (3.11)$$

which takes a second derivative in the  $\alpha$  direction, a direction tangent to the curve  $C_\phi$  at  $\theta(a)$  and

$$\mathcal{L}_s = E_{-\nu}^* \left( \frac{-d^2}{ds^2} E_\nu \right) f(x) , \qquad (3.12)$$

which takes a second derivative in the  $\beta$  direction, a direction perpendicular to the curve  $C_{\phi}$  at  $\theta(a)$ .

Of course,  $\mathcal{L}_{\Delta} = \mathcal{L}_r + \mathcal{L}_s$ , but separating the derivatives shows the effects of each on the reconstructions in Figure 4. As one can see,  $\mathcal{L}_s$  adds singularities. If one looks closely at the  $\mathcal{L}_r$  reconstruction without smoothing, then one can see weaker singularities at the same places.



 $\mathcal{L}_r$  reconstruction:

 $\mathcal{L}_s$  reconstruction:

FIGURE 4. Cross-section with the x - y plane of reconstructions of disks given in Figure 2. The angle with the z-axis  $\phi = \pi/4$ .

So, we see in the  $\mathcal{L}_s$  reconstruction in Figure 5, singularities are added. We can see them more clearly in the plane x = y, which is the plane containing the centers and the axis of rotation of the scanner.



FIGURE 5. Phantom (left) and  $\mathcal{L}_s$  reconstruction (right) in the plane x = y of disks given in Figure 2.

We point out that Ms. Bakhos has improved reconstructions that include noise and smoothing [3]. Furthermore, she and Ms. Chung have added a factor  $kE_{-\nu}^*E_{\nu}$  to provide the contour that Kennan Smith developed for standard planar lambda CT in [9] (see the discussion at the end of Section 3.2). Those reconstructions are better than the ones displayed here, but the singularities are not as visible, and the point of this article is to explore those singularities.

These reconstructions bring up two important questions. Why are some singularities (object boundaries) more clearly visible in our limited data reconstructions than others? Why are some singularities added to the  $\mathcal{L}_s$  and  $\mathcal{L}_r$  reconstructions? Why do the  $\mathcal{L}_s$  reconstructions seem to add stronger singularities? To answer these questions, we first need to establish what a singularity is, which we do in the next section.

PROOF OF (3.8). One starts with  $\Delta E_{-\nu}^* E_{\nu} f$  and brings the Laplacian inside  $E_{-\nu}^* E_{\nu}$  in expression (3.5). This shows that

$$\Delta E_{-\nu}^* E_{\nu} f(x) = \int_{a \in [0,2\pi]} \int_{s \in \mathbb{R}} \Delta f(x + p\theta(a)) e^{\nu p} \sin \phi \, dp \, da \quad (3.13)$$

Now, inside the inner integral, one writes  $\Delta f$  in terms of the coordinates  $(r, s, t) \mapsto r\alpha(a) + s\beta(a) + t\theta(a)$  for fixed  $a \in [0, 2\pi]$ . Thus,  $\Delta f(x + p\theta(a))$  becomes

$$\left(\frac{\partial^2}{\partial r^2} + \frac{\partial^2}{\partial s^2} + \frac{\partial^2}{\partial t^2}\right) f(x + r\alpha(a) + s\beta(a) + (t + p)\theta(a))\Big|_{(r,s,t) = \vec{0}}$$

Since the t derivative is evaluated at t = 0, we can replace the t derivative by the second derivative in p. Next, we separate the inner integral into two integrals, pull  $\frac{\partial^2}{\partial r^2} + \frac{\partial^2}{\partial s^2}$  out of the first integral and do two integrations by parts in p (using the fact that f has compact support) in the second integral. The end result can be rearranged to get (3.8).

### 4. Singularities and wavefront sets

To understand what our operators do to singularities, we need to understand what singularities are. Practically they are density (absorption) jumps, boundaries between regions. Mathematically, they are where a function is not smooth, and we can characterize smoothness using the Fourier transform:

$$(\mathcal{F}f)(\xi) = \tilde{f}(\xi) = \int_{x \in \mathbb{R}^n} e^{-ix \cdot \xi} f(x) dx,$$
  
$$f(x) = \frac{1}{(2\pi)^n} \int_{\xi \in \mathbb{R}^n} e^{ix \cdot \xi} (\mathcal{F}f)(\xi) d\xi.$$
 (4.1)

The key idea is that rapid decrease at  $\infty$  (faster than  $1/||\xi||^m \forall m \in \mathbb{N}$ ) of  $\mathcal{F}f$  is equivalent to smoothness of f. The following simple proposition makes this precise.

PROPOSITION 4.1. Let f be a compactly supported integrable function. Then,  $\mathcal{F}f$  is rapidly decreasing at  $\infty$  (decreasing faster than any power of 1/||x||) if and only if f is equal almost everywhere to a  $C^{\infty}$ function.

PROOF. If  $\mathcal{F}f$  is rapidly decreasing at  $\infty$ , then one can take derivatives of all orders inside the integral in the inversion formula (4.1) and show that f is smooth. If f is smooth and of compact support, then  $\mathcal{F}f$ is rapidly decreasing at  $\infty$  as can be shown by proving the Fourier transforms of derivatives of f are bounded and are polynomials times  $\mathcal{F}f$ .  $\Box$ 

Sobolev spaces [41] are a natural generalization to  $L^2$  integrability of this relationship between the Fourier transform and smoothness. A function smooth of Sobolev order  $s \in \mathbb{N}$  has s derivatives in an  $L^2$  sense.

DEFINITION 4.1. Let  $s \in \mathbb{R}$  and let f be a distribution such that  $\mathcal{F}f$  is a locally integrable function. Then  $f \in H^s(\mathbb{R}^n)$  if

$$||f||_{s} = \left(\int_{\mathbb{R}^{n}} |\mathcal{F}f(\xi)|^{2} (1+||\xi||^{2})^{s} d\xi\right)^{1/2}$$

is finite.

One can localize smoothness at a point  $x_0$  by multiplying f by a smooth cut off function,  $\varphi \in C_c^{\infty}(\mathbb{R}^n)$  with  $\varphi(x_0) \neq 0$ . If one does this, one develops the concept of Sobolev singular support.

DEFINITION 4.2. Let  $s \in \mathbb{R}$  and  $x_0 \in \mathbb{R}^n$ , and let f be a distribution. Assume there is a cut off function that is nonzero near  $x_0, \varphi \in C_c^{\infty}(\mathbb{R}^n)$ , such that the localized function  $\varphi f$  is in  $H^s(\mathbb{R}^n)$ . Then we say f is *locally in*  $H^s$  at  $x_0$ . The  $H^s$ -singular support of f is the complement of the set of points at which f is locally in  $H^s$ 

The profound idea of Hörmander [25] and others is to microlocalize to characterize singularities more precisely. That is to find *directions* where  $\mathcal{F}(\varphi f)$  is not rapidly decreasing at  $\infty$ .

DEFINITION 4.3. Let  $s \in \mathbb{R}$ ,  $x_0 \in \mathbb{R}^n$  and  $\xi_0 \in \mathbb{R}^n \setminus 0$ . The function f is in  $H^s$  at  $x_0$  in direction  $\xi_0$  if there exists a cut-off function  $\varphi$  near  $x_0$  and an open cone V with  $\xi_0 \in V$  such that

$$\int_{\xi \in V} \left| \mathcal{F}(\varphi f)(\xi) \right|^2 (1 + \|\xi\|^2)^s \, d\xi \tag{4.2}$$

is finite

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On the other hand,  $(x_0, \xi_0) \in WF^s(f)$  if f is **not** in  $H^s$  at  $x_0$  in direction  $\xi_0$ .

The wavefront set can be defined for functions of  $(y, \theta)$  using coordinates since WF<sup>s</sup> is defined by cutoff functions.

EXAMPLE 4.4. If  $f : \mathbb{R}^2 \to \mathbb{R}$  is equal to one on the right half plane and equal to zero on the left, then  $WF^1(f)$  is the set of normals to the y-axis. One can prove this by calculating a localized Fourier transform of f at points on the y-axis. It can be shown [25] that one can use a special class of cut off functions that are products of functions in x with functions in y. This reduces the proof to doing integrations by parts in the x integral.

Moreover, the following generalization holds: if f has a jump singularity on a smooth closed surface S without boundary and is smooth elsewhere, then  $\{(x,\xi) | x \in S, \xi \perp S \text{ at } x\} = WF^s(f)$  for s sufficiently large.

**4.1. Singularity Detection in SPECT.** In this section, we give the correspondence of singularities under  $E_{\nu}$ . The key idea is that Radon transforms, such as  $E_{\nu}$ , detect singularities perpendicular to the line (or plane or surface) being integrated over. However, some operators do not detect all perpendicular singularities, and in Definition 4.6 we describe the different types of singularities for  $E_{\nu}$ . There are pure mathematical reasons for this [**20**, **22**, **16**, **46**]. To clarify these singularities, before stating our main theorem, we define a geometric concept and then define three different types of singularities.

DEFINITION 4.5. Let C be a differentiable regular curve on the unit sphere parameterized by  $\theta : (a_1, a_2) \to S^2$ . Let  $a \in (a_1, a_2)$  and  $\theta_0 = \theta(a) \in C$ . Let  $\xi \in \mathbb{R}^3 \setminus 0$ . We say  $\xi$  is perpendicular to C at  $\theta_0$  if  $\xi \cdot \theta'(a) = 0$ .

DEFINITION 4.6. Let  $L_0 = L(y_0, \theta_0)$  with  $a \in [0, 2\pi]$ ,  $\theta_0 = \theta(a) \in C_{\phi}$ ,  $y_0 \in \theta_0^{\perp}$ . Let  $\xi \in \mathbb{R}^n \setminus 0$ .

- (1) We say  $\xi$  is an *invisible* direction along  $L_0$  if  $\xi$  is not perpendicular to  $L_0$ . Equivalently,  $\xi \notin \theta_0^{\perp}$ .
- (2) We say  $\xi$  is a *bad* direction along  $L_0$  if  $\xi$  is perpendicular to  $L_0$  and perpendicular to  $C_{\phi}$  at  $\theta_0$ . Equivalently,  $\xi$  is parallel  $\beta(a)$ .
- (3) We say ξ is a good direction along L<sub>0</sub> if ξ is perpendicular to L<sub>0</sub> but not perpendicular to C<sub>φ</sub> at θ<sub>0</sub>. Equivalently, ξ ∈ θ<sup>⊥</sup><sub>0</sub> and ξ is not parallel β(a).

Note that the good, bad, and invisible directions in Definition 4.6 depend only on the direction,  $\theta_0$ , of the line  $L_0$ .

THEOREM 4.7 (Microlocal Regularity of  $E_{\nu}$ ). Let  $L_0 = L(y_0, \theta_0)$ with  $\theta_0 \in C_{\phi}$ ,  $y_0 \in \theta_0^{\perp}$ . Let f be a distribution of compact support.

- i) If  $E_{\nu}f$  is locally in  $H^s$  at  $(y_0, \theta_0)$ , then f is in  $H^{s-1/2}$  in directions  $\eta_0$  at every point on  $L_0$  for all **good** directions  $\eta_0$ .
- ii) If  $E_{\nu}f$  is not locally in  $H^s$  at  $(y_0, \theta_0)$ , then for some point  $x \in L_0$ and some  $\xi_0 \in \theta_0^{\perp}$ ,  $(x, \xi) \in WF^{s-1/2}(f)$ .
- iii) Wavefront of f at invisible directions along  $L_0$  does not affect the wavefront of  $E_{\nu}f$  near  $L_0$ .

To summarize, this theorem implies that the exponential transform,  $E_{\nu}$ , with limited data should detect a singularity of f when the line is perpendicular to the singularity (e.g., tangent to a boundary of part of the object) and the singularity is good. At bad directions, wavefront can be detected for some functions (as demonstrated by the streaks in our reconstructions starting from bad wavefront points), but not for others (see Example 4.11). If a singularity is invisible (not perpendicular to any line in the data set), then it will be harder to reconstruct stably. In Remark 4.13, we discuss what this all means for  $\mathcal{L}_{\Delta}$ ,  $\mathcal{L}_r$ , and  $\mathcal{L}_s$ .

Why does  $E_{\nu}$  see singularities? Guillemin [19, 20] showed that Radon transforms are elliptic Fourier integral operators associated with a particular Lagrangian manifold (see also [42]). Then, Greenleaf and Uhlmann [16] developed the microlocal analysis of the X-ray transform on admissible complexes on manifolds. In the appendix we will use these ideas to prove a stronger version of Theorem 4.7 that gives the exact microlocal correspondence for good singularities and is true for  $E_{\nu}$ ,  $R_{\mu}$  and any transform on the more general complex  $Y_C$  (3.2) and any smooth nowhere zero weight (see (A.4)). Similarly, our reconstruction methods are valid for any curve C on  $S^2$  [46] and any smooth measures.

**4.2. Examples and Observations.** We will now go through a series of examples that illustrate the different types of singularities and how  $E_{\nu}$  detects them. Our next example shows heuristically how  $E_{\nu}$  can detect singularities perpendicular to the line being integrated over but not others.

EXAMPLE 4.8. Let f be equal to one inside the unit disk, B and zero outside. Let S be the boundary of B. Then, the only wavefront of f is at points on the S in directions normal S. In other words, WF(f) is the set of  $(x, \xi)$  with  $x \in S$ ,  $\xi$  perpendicular to S at x [25]. Let  $(y_0, \theta_0) \in Y_{\phi}$ and assume the line  $L_0 = L(y_0, \theta_0)$  is tangent to S at the point  $x_0$ . Let  $\xi_0$ be normal to S at  $x_0$ . Then, we know  $(x_0, \xi_0) \in WF(f)$  and f is smooth at all other points  $x \neq x_0$  on  $L_0$ . Note that  $\xi_0$  is normal to  $L_0$ , because  $L_0$  is tangent to S at  $x_0$ . Also, the function

$$\theta_0^\perp \ni y \mapsto E_\nu f(y, \theta_0)$$

is not smooth at  $y_0$  since  $E_{\nu}f(\cdot, \theta_0)$  becomes nonzero in a non-smooth way as y and  $L(y, \theta_0)$  move into B. Thus, in this simple case, this singularity perpendicular to  $L_0$  is detected by the data  $E_{\nu}f$ . Note that if  $L(y, \theta_0)$  meets the interior of the disk, then  $E_{\nu}f(y, \theta_0)$  is smooth until the line becomes tangent again to the boundary of the disk. When the line  $L(y, \theta_0)$  meets the interior of B, then f has no singularities perpendicular to the line (the singularities of f along the line are at boundary points and the directions are not perpendicular to the line). This illustrates iii) of Theorem 4.7.

Example 4.8 shows heuristically that  $E_{\nu}$  detects only singularities perpendicular to the line being integrated over. However,  $E_{\nu}$  does unpredictable things to bad singularities. We will now describe the bad directions geometrically.

EXAMPLE 4.9. Let  $\theta_0 = \theta(a) \in C_{\phi}$  and  $y_0 \in \theta_0^{\perp}$ . Now let  $L_0 = L(y_0, \theta_0)$ .

Here is one way to describe the bad directions geometrically. Recall that  $\mathfrak{C}_{\phi}$  is the cone through the origin with opening angle  $\phi$  with the z-axis. Let  $x \in L_0$ . Consider the cone  $x + \mathfrak{C}_{\phi}$ . Then,  $L_0$  is a line on this cone and there is a plane  $P_x$  that is tangent to  $x + \mathfrak{C}_{\phi}$  and contains  $L_0$ . The vector  $\xi$  is perpendicular to this tangent plane iff it is bad. To see this, first assume  $\xi$  is perpendicular to  $P_x$ . Then,  $\xi$  is perpendicular to  $L_0$  since  $L_0 \subset P_x$ , and furthermore,  $\xi$  must be perpendicular to  $C_{\phi}$  at  $\theta_0$  because  $\xi$  is perpendicular to  $x + \mathfrak{C}_{\phi}$  along  $L_0$  and therefore perpendicular to  $\mathfrak{C}_{\phi}$ along the line  $L(0, \theta_0)$ . That means that  $\xi$  is perpendicular to the circle  $C_{\phi} \subset \mathfrak{C}_{\phi}$  at  $\theta_0$ . Reverse these arguments to prove the other direction.

It should be pointed out that the condition that  $P_x$  is the same plane for each  $x \in L_0$  is part of the definition of admissible line complex [13, 14, 15, 20].

A second way is to describe bad directions is as directions that are parallel  $\beta(a)$  (see (3.9)).

Finally, the direction  $\xi$  is bad along  $L_0$  iff it is perpendicular to  $\theta(a)$ and in the plane containing  $\theta(a)$  and the z-axis. Here is why. By shifting the argument above to x = 0,  $\xi$  is bad along  $L_0$  iff  $\xi$  perpendicular the plane  $P_0$  that contains  $L(0, \theta_0)$  and is tangent to the cone  $\mathfrak{C}_{\phi}$ . Since  $P_0$  is tangent to  $\mathfrak{C}_{\phi}$ , to be perpendicular to  $P_0$  is equivalent to being perpendicular to  $\theta_0$  and in the plane containing  $\theta_0$  and the z-axis (the axis of the cone  $\mathfrak{C}_{\phi}$ ). REMARK 4.10. We can learn from some useful observations of Orlov for the parallel beam transform  $P = E_0$  with directions on a curve C. Let  $\theta \in C$  and let  $\mathcal{F}_y$  be the Fourier transform on the plane  $\theta^{\perp}$ ,  $\mathcal{F}_y g(\sigma, \theta) = \int_{y \in \theta^{\perp}} g(y, \theta) e^{-iy \cdot \sigma} dy$  for  $\sigma \in \theta^{\perp}$ . Then the well-known Projection Slice Theorem [**38**] for  $\theta \in S^2$  and for  $\sigma \in \theta^{\perp}$  is

$$\mathcal{F}f(\sigma) = \mathcal{F}_y(Pf(\sigma,\theta)) \tag{4.3}$$

Therefore, if data  $Pf(y,\theta)$  is given for  $(y,\theta) \in Y_C = \{(y,\theta) | \theta \in C, y \in \theta^{\perp}\}$  (see (3.2)), then the Fourier transform  $\mathcal{F}f(\sigma)$  is known on the *dual cone* to *C*, the cone

$$\bigcup_{\theta \in C} \theta^{\perp} \,. \tag{4.4}$$

For  $Y_{\phi}$ , this dual cone is exactly the cone generated by the spherical band in Figure 6 (the vectors in a sphere centered at the origin are normal to the sphere). In fact, these are exactly the directions in which the wavefront of f is visible plus the bad directions by Theorem 4.7. Note that  $\mathcal{F}f$  known from this data only at directions in the dual cone. Using (4.3), Orlov [40] developed an inversion method for P as long as all Fourier directions are recovered from integrals over lines in the complex  $Y_C$ . Orlov's condition for invertibility is that  $\mathbb{R}^3 = \bigcup_{\theta \in C} \theta^{\perp}$ . Under Orlov's condition,  $\mathcal{F}f$  is known on all of  $\mathbb{R}^3$ , so f can be recovered by Fourier inversion.

EXAMPLE 4.11. In our reconstructions, you have seen how singularities of f in the bad directions can be spread. In this example, we show the opposite, namely how wavefront in the bad directions can be undetected. It is easiest to do this for  $P = E_0$  because of the Projection Slice Theorem (4.3). Let  $\phi \in (0, \pi/2)$ . Let  $\xi_0$  be a bad direction. We construct a function f with  $Pf \equiv 0$  on  $Y_{\phi}$  (so Pf is smooth on  $Y_{\phi}$ ) but f has wavefront in this bad direction. This example also shows that P restricted to data on  $Y_{\phi}$  is not injective for functions not of compact support.

First we describe the Fourier transform,  $\mathcal{F}f$ . Let  $\mathcal{C}_P$  be the solid cone about the  $\xi_3$ -axis with opening angle  $\pi/2 - \phi$ . Note that  $\mathcal{C}_P$  is the complement of the dual cone to  $C_{\phi}$  (see (4.4)). To define  $\mathcal{F}f$ , first take a homogeneous function of sufficiently negative degree that is supported in a conic neighborhood of  $\xi_0$ , nonzero at  $\xi_0$ , and smooth away from the origin. Then, alter the function near 0 to make it zero near the origin and smooth on  $\mathbb{R}^3$ . Finally, make it zero off of  $\mathcal{C}_P$ . Call the result  $\hat{h}$ . Next, (to make f integrable) we define

$$\mathcal{F}(f)(\xi) = \hat{h}(\xi) \left(\frac{\xi}{\|\xi\|} \cdot (0,0,1) - \cos\phi\right)^k$$

for sufficiently large  $k \in \mathbb{N}$ . By construction,  $\mathcal{F}f$  is rapidly decreasing in no neighborhood of  $\xi_0$ . Therefore,  $\xi_0$  is not in the limit cone at infinity of supp  $\mathcal{F}f$ , and so, by [25, Lemma 8.1.7, p. 258], for any point  $x \in \mathbb{R}^3$ ,  $(x, \xi_0) \in WF(f)$ . Therefore  $(x, \xi) \in WF^s(f)$  for sufficiently large s.

Because  $\mathcal{F}f$  is homogeneous of high negative degree at infinity and goes to zero like  $\left(\frac{\xi}{\|\xi\|} \cdot (0,0,1) - \cos\phi\right)^k$  near  $\mathrm{bd}(\mathcal{C}_P)$  if k is large enough,  $\mathcal{F}f$  is sufficiently smooth and integrable for f to be integrable.

Finally, because  $\mathcal{F}f$  is zero on the dual cone to  $C_{\phi}$ , by the Projection Slice Theorem argument in the last example,  $Pf \equiv 0$  on  $Y_{\phi}$ . For any bad direction, we have constructed a nonzero function f that is integrable,  $Pf \equiv 0$  and  $(x, \xi_0) \in WF(f)$  for all  $x \in \mathbb{R}^3$ .



FIGURE 6. This figure, illustrating Example 4.12, shows the points on the unit sphere S = bd(B) corresponding to good, bad, and invisible wavefront directions when  $\phi = \pi/4$ . The z-axis, the axis of rotation of the equatorial band, is the axis coming up out of the sphere. The open equatorial band represents points on the sphere with singularities in good directions. The latitude circles at the boundary of the band represent points with singularities in the bad directions. The top and bottom spherical caps correspond to points with invisible singularities.

EXAMPLE 4.12. In Figure 6, we show the good, bad, and invisible singularities for a special case related to the phantom in our reconstructions. If f is the characteristic function of the unit disk, B, then WF(f) is the set of normals to S := bd(D), and Figure 6 shows points on the boundary with good, bad, and invisible singularities. Since S is the unit sphere, each point on S is also normal to S. The invisible singularities correspond to points on S not normal to any line in  $Y_{\phi}$ , and these are exactly the spherical caps at the north and south pole.

By Definition 4.6, good and bad singularities are in the dual cone to the circle  $C_{\phi}$  (see (4.4) and Theorem 4.7):

$$\mathcal{D}_{\phi} = \bigcup_{\theta \in C_{\phi}} \theta^{\perp}$$

and by the correspondence between normal directions and points on S, points corresponding to good and bad singularities are on  $S \cap \mathcal{D}_{\phi}$ , that is all points with angle from the z-axis greater than or equal to  $\pi/2 - \phi$ .

We now explain why the bad singularities are at points on the boundary of this spherical band. Let  $x_0$  be a point on S corresponding to a bad direction. Since  $x_0$  is normal to S at  $x_0$ ,  $x_0$  must be a bad direction itself. Since bad directions correspond to singularities in planes containing  $\theta \in C_{\phi}$  and the z-axis (see Definition 4.6 (2) and the discussion at the end of Example 4.9), the angle between  $x_0$  and the z-axis is  $\pi/2 - \phi$ . Thus,  $x_0$  is at the boundary of the spherical band.

Let  $L_0 = L(y_0, \theta_0)$  be the line in the complex  $Y_{\phi}$  tangent to S at  $x_0$ . Because  $\theta_0$  and  $x_0$  are in the same plane as the z-axis,  $L_0$  must be in that plane and so  $L_0$  intersects the z-axis. Furthermore, using geometry, one sees that the union of the lines for all points  $x_0$  on the top (or bottom) boundary of the band form a cone with center on the z-axis and opening angle  $\phi$ , that is a cone parallel  $\mathfrak{C}_{\phi}$  and tangent to S along a circle.

 $\mathcal{L}_{\Delta}$ ,  $\mathcal{L}_r$ , or  $\mathcal{L}_s$  can spread singularities of f that are in bad directions. We can see this from the reconstructions in Figures 3, 4, 5. Now that we have a geometric way of understanding bad directions, we see the singularities are spread along lines in the data set that are perpendicular to bad directions. In the case of this characteristic function f, we have shown that those lines form the two cones that are parallel  $\mathfrak{C}_{\phi}$  and tangent to S. Looking at the reconstructions in Figures 2, 3, 4, 5 we see that the singularities are spread along these cones that are tangent to each sphere, and they cause the halo circles or lines in those reconstructions.

REMARK 4.13. The microlocal reason why  $\mathcal{L}_r$  and  $\mathcal{L}_s$  add singularities is beyond this article and we plan to prove this in a subsequent article. The general phenomenon is discussed in [16] and for cone beam tomography (a related but different transform from ours) in [12, 27, 26]. The reasons, as we will prove in a subsequent article, are that  $\mathcal{L}_\Delta$ ,  $\mathcal{L}_r$ and  $\mathcal{L}_s$  are pseudodifferential operators that are singular in the bad directions. The reconstructions (and examples in [46]) show how singularities in the bad directions can be smeared.  $\mathcal{L}_r$  doesn't take derivatives in the bad directions (the  $\beta(a)$  direction, perpendicular to C at  $\theta$ ), and reconstructions don't accentuate the added singularities in this bad direction. Because  $\mathcal{L}_s$  takes derivatives in the bad directions, the added singularities are stronger (less differentiable in Sobolev scale). Note that the idea behind  $\mathcal{L}_r$ -taking a derivative in a good direction in the detector plane-is the reason the improved cone beam algorithms of [1, 26, 51] work well.

REMARK 4.14. Wavefront singularities are defined by slow decay at  $\infty$  of  $\mathcal{F}f$ . But, one can argue that slow decay is not measurable from numerical data. However, for the standard discontinuities of tomographic data, this slow decay occurs sufficiently close to zero to be numerically visible. This has not been quantified, but Rullgård and Quinto are working on local band-limited Sobolev seminorms to do this.

 $E_{\nu}$  is injective for functions of compact support because, of course, there are inversion formulas. But, the restricted region of interest transform is not injective. For  $\nu = 0$ , this can be seen by a modification of a two-dimensional counterexample to injectivity for the interior problem [3].

Null functions for region of interest slant-hole SPECT, the ones that cause problems for reconstruction algorithms, are oscillatory (large high Fourier coefficients), and good reconstruction methods filter them out. Also, singularity detection methods like Lambda CT and our methods recover singularities of an object, and this is a sort of regularization. We don't try to recover the values of the data, but only the singularities that are stably visible from the data according to Theorem 4.7 (in good directions).

# Appendix A. Microlocal properties of $E_{\nu}$ and the generalized transform $\mathcal{P}_m$

We consider general X-ray transforms over arbitrary curves. Let  $a_1 < a_2$  and consider the curve C that is parameterized by the smooth regular function  $\theta : (a_1, a_2) \to S^2$ . We can assume that the parameterization  $\theta$  is chosen so  $\|\theta'\| \equiv 1$  without loss of generality.

We use the following notation

$$\alpha(a) = \theta'(a), \ \beta(a) = \theta(a) \times \alpha(a) \tag{A.1}$$

Note that  $\alpha(a)$ ,  $\beta(a)$ ,  $\theta(a)$  form an orthonormal basis of  $\mathbb{R}^3$  and  $\alpha(a)$  and  $\beta(a)$  form an orthonormal basis of  $\theta^{\perp}(a)$ .

We assume the following curvature condition

$$\forall a \in (a_1, a_2), \ \theta''(a) \cdot \theta(a) \neq 0.$$
(A.2)

This is easily seen to be true for the slant-hole geometry.

We use coordinates on  $Y_C$  (3.2) to make the calculation easier

$$\mathcal{Y}_C = \mathbb{R}^2 \times (a_1, a_2) \ni (r, s, a) \mapsto (r\alpha(a) + s\beta(a), \theta(a)) \in Y_C$$
  
$$\mathcal{L}(r, s, a) = L(r\alpha(a) + s\beta(a), \theta(a))$$
(A.3)

and we consider the parallel beam transform on  $\mathcal{Y}_C$  with arbitrary  $C^{\infty}$  weight that is nowhere zero

$$\mathcal{P}_m(f)(r,s,a) = \int_{x \in \mathcal{L}(r,s,a)} f(x)m\big(x,(r,s,a)\big)dx_L \,. \tag{A.4}$$

Of course, both  $E_{\nu}$  and  $R_{\mu}$  fit into this framework with  $Y_C = Y_{\phi}$  as long as, for  $R_{\mu}$ , the attenuation  $\mu$  is smooth.

Wavefront sets are defined on cotangent spaces, and we will observe this convention now so that readers who compare our results to the classical ones can easily see the relation. We need to change some notation. Instead of using tangent vectors, we use the dual cotangent vectors. Let  $x = (x_1, x_2, x_3) \in \mathbb{R}^3$ . Associated to the tangent vector  $\xi_1 \frac{\partial}{\partial x_1} + \xi_2 \frac{\partial}{\partial x_2} + \xi_3 \frac{\partial}{\partial x_3}$  is its dual cotangent vector,

$$(x; \xi \mathbf{dx})$$
 where  $\xi := (\xi_1, \xi_2, \xi_3)$  and  
 $\xi \mathbf{dx} := \xi_1 \mathbf{dx}_1 + \xi_2 \mathbf{dx}_2 + \xi_3 \mathbf{dx}_3$ .

We make a similar convention for  $T^*(\mathcal{Y}_C)$ , namely, the covector above (r, s, a) on  $\mathcal{Y}_C$  is denoted

$$\left((r, s, a); \eta_r \mathbf{dr} + \eta_s \mathbf{ds} + \eta_a \mathbf{da}\right). \tag{A.5}$$

THEOREM A.1. Let f be a distribution of compact support on  $\mathbb{R}^3$ . Assume  $\mathcal{P}_m(f)$  is given on an open set  $U \subset \mathcal{Y}_C$ . Let  $(r, s, a) \in U$  and let  $\xi$  be a non-zero vector perpendicular to  $\theta(a)$  written as

$$\xi = \xi_r \alpha(a) + \xi_s \beta(a).$$

Finally, let  $x \in \mathcal{L}(r, s, a)$ . If  $\xi_r \neq 0$  (i.e.,  $\xi$  is not parallel  $\beta(a)$ ), then

$$(x; \xi \mathbf{dx}) \in WF^{\alpha}(f) \text{ if and only if}$$
$$\left((r, s, a); \xi_r \mathbf{dr} + \xi_s \mathbf{ds} + x \cdot \left(\xi_r \theta''(a) + \xi_s \theta(a) \times \theta''(a)\right) \mathbf{da}\right) \quad (A.6)$$
$$\in WF^{\alpha + 1/2} \left(\mathcal{P}_m(f)\right).$$

Note that the condition  $\xi_r \neq 0$  is equivalent to  $\xi$  being a good direction (one that is not parallel  $\beta(a)$ ).

PROOF. The proof follows from more general results in [16] (see also [5]). We provide a proof for completeness. First, we calculate the canonical relation [24] of  $\mathcal{P}_m$ , which is given in the following lemma.

LEMMA A.2.  $\mathcal{P}_m$  is an elliptic Fourier integral operator (FIO) of order -1/2 with canonical relation

$$\mathcal{C} = \left\{ \left( x, (r, s, a); \left( \eta_r \alpha(a) + \eta_s \beta(a) \right) \mathbf{dx}, \\ \eta_r \mathbf{dr} + \eta_s \mathbf{ds} + x \cdot \left( \eta_r \theta''(a) + \eta_s(\theta(a) \times \theta''(a)) \mathbf{da} \right) \\ \left| \left( \eta_r, \eta_s \right) \neq \mathbf{0}, \ x \cdot \alpha(a) - r = 0, \ x \cdot \beta(a) - s = 0 \right\}.$$
(A.7)

PROOF. The *incidence relation* of a Radon transform is defined to be the set of ordered pairs of points x and manifolds of integration  $L \in Y$ such that  $x \in L$ . So, the incidence relation of  $\mathcal{P}_m$  [23, 15] can be written in our coordinates

$$Z := \{ (x, (r, s, a)) | x \cdot \alpha(a) - r = 0, \ x \cdot \beta(a) - s = 0 \}$$
(A.8)

since  $x \in \mathcal{L}(r, s, a)$  if and only if the equations given in (A.8) hold. Then, the following properties were shown in general for Radon transforms [19, 21, 42] and, as we will explain, apply to  $\mathcal{P}_m$ . First, the Schwartz kernel of any Radon transform is integration over its incidence relation, Z [42, Proposition 1.1]. Thus, the Schwartz kernel is a conormal distribution associated with Lagrangian manifold the conormal bundle of Z,  $N^*(Z) \setminus$ 0, [19, 42]. Furthermore, under assumptions we will establish for  $\mathcal{P}_m$ , the Radon transform is an elliptic Fourier Integral Operator (FIO) that is associated with the Lagrangian manifold  $N^*Z \setminus \{0\}$ . To show this for  $\mathcal{P}_m$ , we need to calculate  $N^*Z \setminus \{0\}$  for this transform. Z is defined, (A.8), by equations  $x \cdot \alpha(a) - r = 0$ ,  $x \cdot \beta(a) - s = 0$ , and so above each  $(x, (r, s, a)) \in Z$ ,  $N^*Z$  has as basis

$$\alpha(a)\mathbf{dx} - \mathbf{dr} - x \cdot \alpha'(a)\mathbf{da}, \quad \beta(a)\mathbf{dx} - \mathbf{ds} - x \cdot \beta'(a)\mathbf{da}, \quad (A.9)$$

and any covector above (x, (r, s, a)) is a linear combination of these covectors. Since  $\alpha'(a) = \theta''(a)$  and  $\beta'(a) = \theta(a) \times \theta''(a)$  we see that

$$N^{*}Z \setminus \{0\} = \left\{ \left(x, (r, s, a); \left(\eta_{r}\alpha(a) + \eta_{s}\beta(a)\right)\mathbf{dx}, -\eta_{r}\mathbf{dr} - \eta_{s}\mathbf{ds} - x \cdot \left(\eta_{r}\theta''(a) + \eta_{s}\theta(a) \times \theta''(a)\right)\mathbf{da}\right) \\ \left| \left(\eta_{r}, \eta_{s}\right) \neq \mathbf{0}, \ x \cdot \alpha(a) - r = 0, \ x \cdot \beta(a) - s = 0 \right\}.$$
(A.10)

The canonical relation of an operator is gotten from its Lagrangian manifold by multiplying the last coordinates (the cotangent coordinates in

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dr, ds, and da, those above  $Y_C$ ) by -1. This concludes the proof of Lemma A.2.

The proof of (A.6) follows from Lemma A.2. Let  $p_Y : \mathcal{C} \to T^*(\mathcal{Y}_C)$  be the projection on the second coordinates,

$$p_{Y}\left(x, (r, s, a); \left(\eta_{r}\alpha(a) + \eta_{s}\beta(a)\right)\mathbf{dx}, \eta_{r}\mathbf{dr} + \eta_{s}\mathbf{ds} + x \cdot \left(\eta_{r}\theta''(a) + \eta_{s}\theta(a) \times \theta''(a)\right)\mathbf{da}\right) := \left((r, s, a); \eta_{r}\mathbf{dr} + \eta_{s}\mathbf{ds} + x \cdot \left(\eta_{r}\theta''(a) + \eta_{s}\theta(a) \times \theta''(a)\right)\mathbf{da}\right) (A.11)$$

and let  $p_X : \mathcal{C} \to T^*(\mathbb{R}^3)$  be the projection

$$p_X \Big( x, (r, s, a); \big( \eta_r \alpha(a) + \eta_s \beta(a) \big) \mathbf{dx}, \eta_r \mathbf{dr} + \eta_s \mathbf{ds} + x \cdot \big( \eta_r \theta''(a) + \eta_s \theta(a) \times \theta''(a) \big) \mathbf{da} \Big) := \big( x; \big( \eta_r \alpha(a) + \eta_s \beta(a) \big) \mathbf{dx} \big).$$
(A.12)

We need to check that  $p_Y$  maps C to  $T^*(\mathcal{Y}_C) \setminus \{0\}$ . This is clear from (A.11) since  $(\eta_r, \eta_s) \neq (0, 0)$ . Similarly the projection  $p_X$  to  $T^*(\mathbb{R}^3)$ maps to  $T^*(\mathbb{R}^3) \setminus \{0\}$ . These conditions show that  $\mathcal{P}_m$  is a FIO [24].  $\mathcal{P}_m$ is elliptic because its symbol is smooth, nowhere zero, and constant in the cotangent variable (see [42, (14), (15)]). Because the codimension of Z in  $\mathbb{R}^3 \times \mathcal{Y}_C$  is two,  $\mathcal{P}_m$  has order -1/2 [21, 16].

Define

$$\mathcal{N} = \left\{ \left( x, (r, s, a); \eta_s \beta(a) \mathbf{dx}, \eta_s \left( \mathbf{ds} + x \cdot (\theta(a) \times \theta''(a) \right) \mathbf{da} \right) \\ \left| x \in \mathcal{L}(r, s, a), \eta_s \neq 0 \right\},$$
(A.13)

(A.13) and note that  $\mathcal{N}$  is just the set of points in  $\mathcal{C}$  for which  $\eta_r = 0$ . The set  $\mathcal{N}$  corresponds to the bad directions.

We finally need to check that  $p_Y : (\mathcal{C} \setminus \mathcal{N}) \to T^*(\mathcal{Y}_C)$  is an injective immersion. This is a microlocal Bolker assumption [21] [42, (9)]. Under this assumption, one can compose  $\mathcal{P}_m$  and a dual transform microlocalized to be zero near  $\mathcal{N}$  and use the calculus of microlocally elliptic pseudodifferential and Fourier integral operators to show (A.6) (e.g., [21, 42]). Here is the proof of the microlocal Bolker assumption. From the image in (A.11), we know  $r, s, a, \eta_r, \eta_s$  as well as

$$x_{\theta^{\perp}} := r\alpha(a) + s\beta(a), \qquad \xi \mathbf{dx} = \left(\eta_r \alpha(a) + \eta_s \beta(a)\right) \mathbf{dx}, A := \eta_r x \cdot \theta''(a) + \eta_s x \cdot \left(\theta(a) \times \theta''(a)\right).$$
(A.14)

Note that A is the coefficient of da in the image in (A.11). Since  $x_{\theta^{\perp}}$  is the projection of x to the plane  $\theta^{\perp}$ ,

$$x \cdot (\theta(a) \times \theta''(a)) = x_{\theta^{\perp}} \cdot (\theta(a) \times \theta''(a))$$

So, the only information we need in order to find  $(x, \xi \mathbf{dx})$  and prove  $p_Y$  is an injective immersion when  $\eta_r \neq 0$  is to find t in the expression

$$x = t\theta(a) + x_{\theta^{\perp}} . \tag{A.15}$$

By plugging (A.15) into the formula for A in (A.14), one can easily show that

$$t = \frac{A - x_{\theta^{\perp}} \cdot \left(\eta_r \theta''(a) + \eta_s \theta(a) \times \theta''(a)\right)}{\eta_r \theta(a) \cdot \theta''(a)}$$
(A.16)

and so t is smoothly determined, and  $p_Y$  is an injective immersion off of  $\mathcal{N}$ . Note that here we are using the curvature condition (A.2) and our assumption that we are off of  $\mathcal{N}$  ( $\eta_r \neq 0$ ) to know that the denominator in (A.16) is not zero. Then, in exactly the same way as in [42], for example, this shows that the Bolker Assumption holds off of  $\mathcal{N}$ .

The map  $p_X$  is an immersion off of  $\mathcal{N}$  because  $p_Y$  is an immersion and  $N^*(Z) \setminus \{0\}$  is Lagrangian [24]. If the curve C does not include antipodal points (if  $\theta \in C$  then  $-\theta \notin C$ ), then that  $p_X$  is injective off of  $\mathcal{N}$ . This can be seen because, as long as  $\eta_a \neq 0$ , the plane  $\xi^{\perp}$  intersects C transversely. In case the curve of directions C includes a point  $\theta$  and its antipodal point,  $-\theta$ , one can just localize C around  $\theta$  and use the microlocal analysis we will now give for this localized curve. In either case, this argument and standard microlocal analysis [24] shows that as long as  $\eta_r \neq 0$  (off of  $\mathcal{N}$ ),

$$(x,\xi) \in WF(f)$$
 iff  $p_Y \circ (p_X)^{-1}(x,\xi \mathbf{dx}) \in WF(\mathcal{P}_m(f))$ . (A.17)

When one traces (A.17) back, one gets exactly (A.6) for smooth wavefront set. To get (A.6) for Sobolev wavefront set, one needs to use that  $\mathcal{P}_m$  is an elliptic FIO of order -1/2 associated to a local canonical graph off of  $\mathcal{N}$ , and Sobolev continuity of order -1/2 holds for such operators (when localized away from  $\mathcal{N}$ ). This is very similar to the arguments in [44, 46, 45] for Sobolev and real-analytic wavefront set. This concludes the proof of Theorem A.1.

It should be pointed out that  $\mathcal{N}$  in (A.13) is the set on which  $p_Y$  is not an injective immersion and it causes singularities of  $f \in \mathcal{E}'(\mathbb{R}^3)$  to be moved if one forms  $\mathcal{P}_m^*\mathcal{P}_m f$ , as shown in [16]. This is also observed for other tomographic transforms including the ones for cone beam CT [16, 27, 12] and for electron microscopy [46].

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